

SERVICES AND RATES

	Hourly Engagement	Annual Retainer	Assets Under Management
Initiating Meetings			
Virtual or telephone meetings upon request	x	x	x
One in-person review meeting per year		x	x
Additional in-person meetings upon request			x
Services Offered			
SWRC performs tasks at your direction	x	x	x
SWRC will highlight additional areas of concern		x	x
Unlimited hours available to client			x
Enacting Changes			
SWRC will implement changes to your investment portfolio			x
SWRC will assist in implementing changes to your financial plan			x
Monitoring			
Annual review of financial plan and/or investment portfolio		x	x
Active monitoring of financial plan and investment portfolio			x
Quarterly investment review and monitoring report			x

Hourly Engagement	Annual Retainer	Assets Under Management
Rates*		
\$275 per hour, billed via invoice after completion of services We will provide our best estimate of hours required to complete a given task	Annual Fee, billed quarterly in advance Financial Planning: \$4,500 Annual Portfolio Assessment: \$2,500 Annual Joint Package: \$6,000 Annual	Annual Fee, billed in arrears and deducted from assets under our management First \$1,000,000 AUM: 1% annual Next \$2,000,000 AUM: .5% annual Thereafter: .35% annual
Recommended Usage		
For clients looking to address narrow topics or one-off questions regarding financial planning or their investments	For clients interested in ongoing, high-level advice who are comfortable with administering their own finances	For clients seeking to delegate monitoring and implementation responsibilities in addition to comprehensive financial planning and investment advice